526 Rec'd PCT/PTO 17/11/2000

FORM PTO-1390 ARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 4421-003 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (if known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/GB99/00119 14 January 1999 (14.01.99) 15 January 1998 (15.01.98) TITLE OF INVENTION FORGING OF WORKPIECES APPLICANT(S) FOR DO/EO/US Rainer BUHLER and Noppadol AMORNTATKUL Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. \boxtimes 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the 3. expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. **'**4. \mathbf{X} 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) A translation of the International Application into English (35 U.S.C. 371(c)(2)). 6. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. b. have not been made; however, the time limit for making such amendment has NOT expired. have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A FIRST preliminary amendment. 13. A SECOND or SUBSEQUENT preliminary amendment. 14. A substitute specification. 15. A change of power of attorney and/or address letter. $\overline{\mathbf{x}}$ 16. Other items or information. Front page of International Publication Number WO 99/36207 а

U.S. APPLIC. NO. (if kno	wn, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER		NUMBER		
09/600400 PCT/GB99/00119				4421	4421-003		
17. X The following fees are submitted:				C	ALCULATIONS	PTO USE ONLY	
Basic National Fee (37 CFR 1.492(a)(1)-(5)):							
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO and JPO							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 690.00							
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)							
International preliminary examination fee paid to USPTO (37 CFR 1.482) And all claims satisfied provisions of PCT Article 33(2)-(4)							
ENTER APPROPRIATE BASIC FEE AMOUNT =					970.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than \(\sum 20 \) \(\sum 30 \) months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total Claims	- 20 =	v ·	X \$18.00	\$	0.00		
Independent Claims	- 3=	Again gar	X \$78.00	\$	0.00		
Multiple dependent claim(s) (if applicable) + \$260.00				\$	0.00		
TOTAL OF ABOVE CALCULATIONS =				= \$	970.00		
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	0.00		
SUBTOTAL =				= \$	0.00		
Processing fee of \$130.00 for furnishing the English translation later than the □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$	0.00		
TOTAL NATIONAL FEE =					970.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					0.00		
TOTAL FEES ENCLOSED =					970.00		
					Amount to be: refunded	\$	
		تشم سهفه			charged	\$	
a. A check in the amount of \$ 970.00 to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. XXX in the amount of \$ XXX to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1337. A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:					// /		
HAUPTMAN Benjamin I							
LOWE HAUPTMAN GOPSTEIN GILMAN & BERNER, LLP Benjamin J.				I Was	untrhan		
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